

NEN

Integratie van Due Diligence in bestaande risicomanagementsystemen volgens NPR 9036

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NEN Milieu en Maatschappij

Agenda

- Achtergrond NPR 9036
- Risicomanagement en Due Diligence
- De kern van NPR 9036

Achtergrond NPR 9036

- Thema SER-IMVO commissie in 2013: due diligence
- Idee om handen en voeten te geven aan:

OECD Guidelines:

Organizations **should carry out risk-based due diligence, for example by incorporating it into their enterprise risk management systems**, to identify, prevent and mitigate actual and potential adverse impacts as described in paragraphs 11 and 12, and account for how these impacts are addressed

- Opdracht vanuit SER aan NEN

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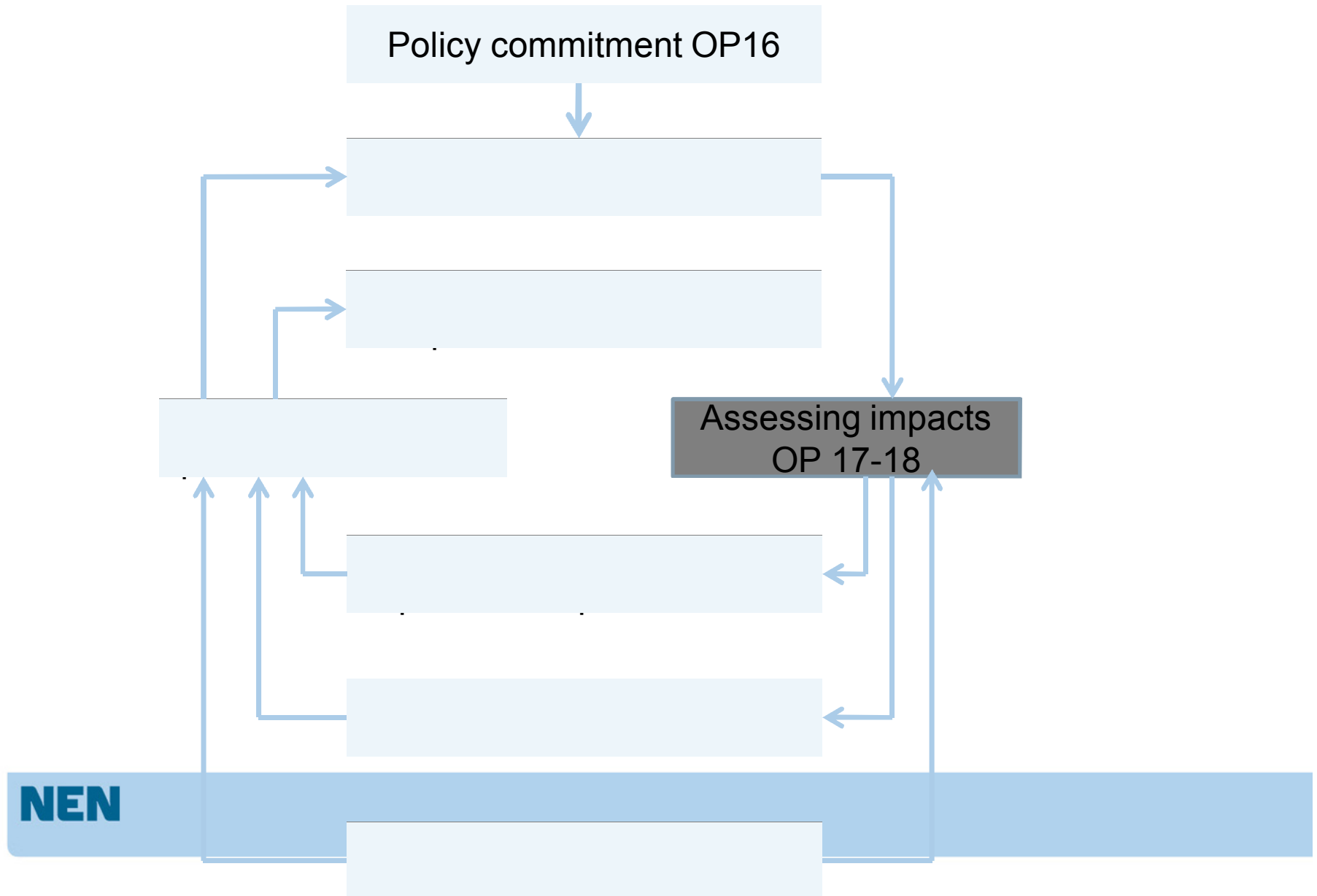
Doel NPR 9036

- Bieden van een handreiking voor de integratie van due diligence op basis van de UNGP en OECD richtlijnen, in bestaande (risico)managementsystemen
- Integratie met:
 - Risicomanagement volgens ISO 31000
 - Managementsystemen zoals ISO 9001/14001
 - MVO op basis van ISO 26000

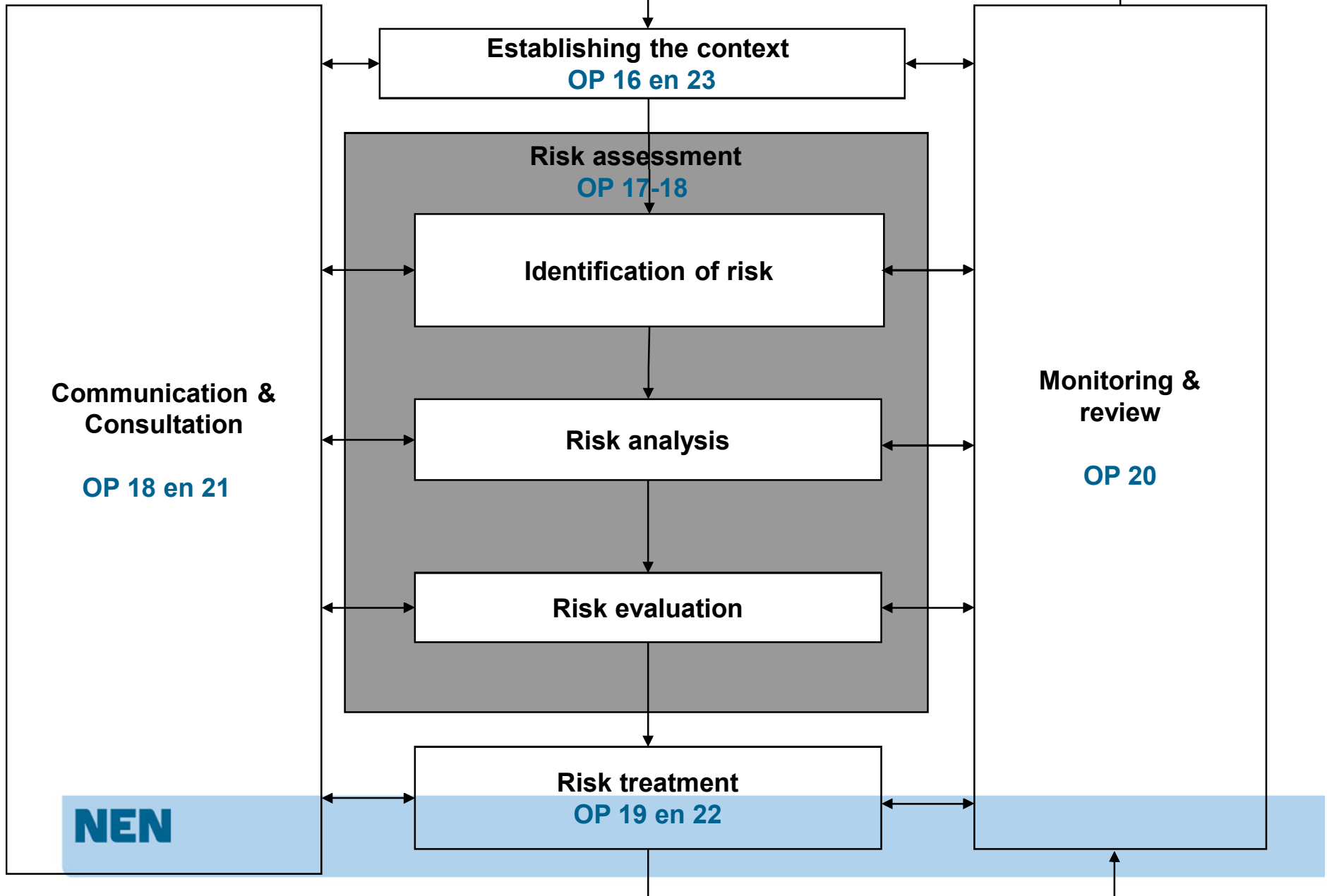
Risicomanagement en Due Diligence

- Risicomanagement (ISO-normen):
 - Gericht op risico's en kansen in het licht van de doelstellingen van de organisatie
- Due diligence (UNGP):
 - Gericht op actuele en potentiële negatieve effecten op mensenrechten, milieu, sociaal-economische omstandigheden
- Schijnbare tegenstelling:
 - Een negatief effect op een stakeholder is vandaag de dag (ook) een risico voor de organisatie

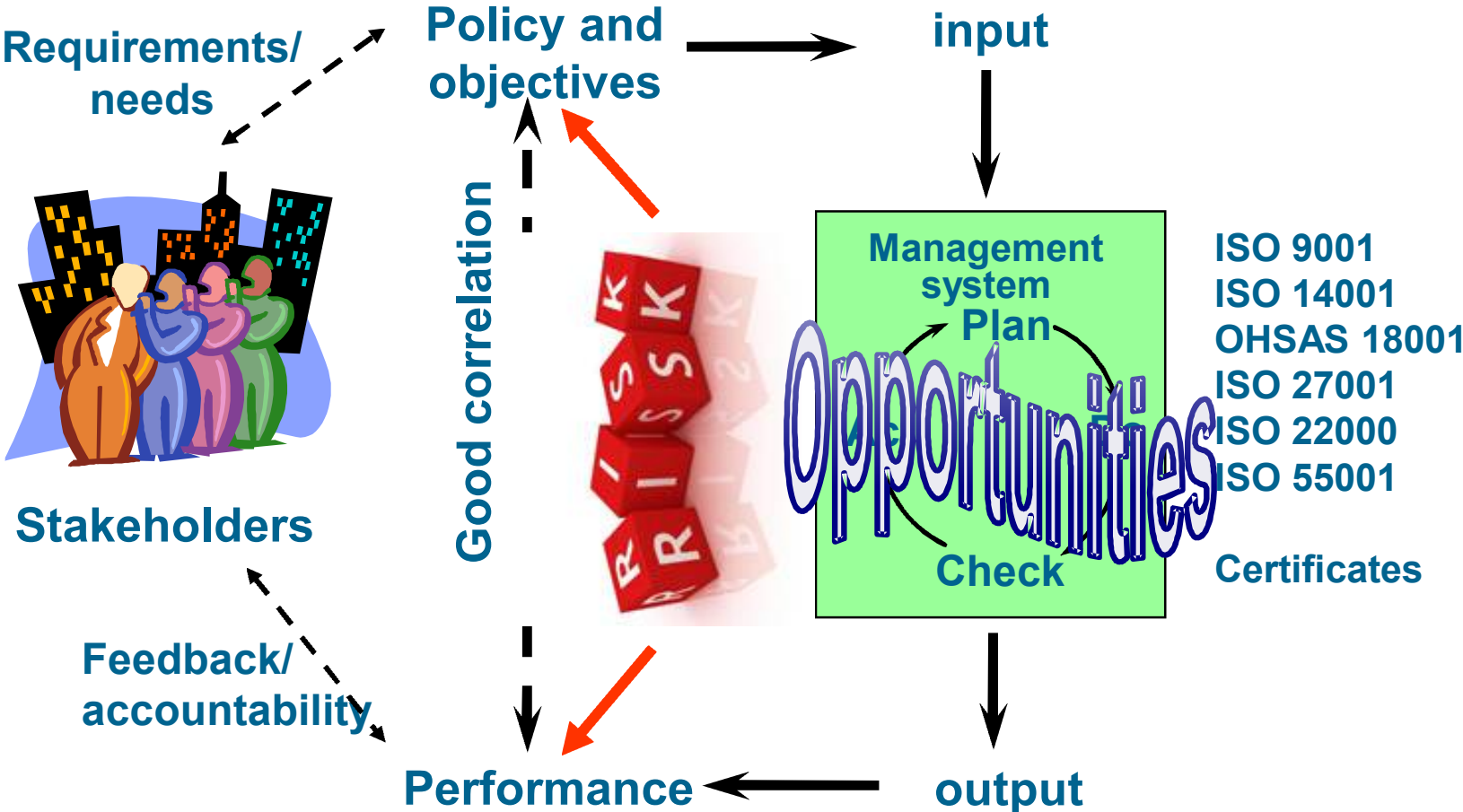
Due Diligence volgens UNGP



ISO 31000 – risk management process (related to UNGP)



The ISO based (risk) management system



Core elements of the ISO based (risk) management system

4. Context of the organization
5. Leadership
6. Planning
7. Support
8. Operation
9. Performance evaluation
10. Improvement

Framework for integration of DD in companies

Steps in Due Diligence		HLS based management systems	
Policy Commitment	OP 16	Policy and leadership commitment	5.1, 5.2
Assessment of impacts	OP 17-18	Understanding the context, determination of risk and opportunities	4.1, 4.2, 6.1
Integrating and acting upon findings	OP 19	Actions to address risk and opportunities, support, operational control	5.3, 6.1, 6.2, 7, 8.1
Tracking performance (monitoring)	OP 20	Performance evaluation	6.1, 9
Communications	OP 21	Internal and external communication	7.4
Remediation	OP 22	Take action on non-conformities	10.1

Content of NPR 9036

Guidance for integrating due diligence in existing risk management systems

- 1) Scope
- 2) References
- 3) Terms and definitions
- 4) Context of CSR and due diligence
- 5) Due diligence and its linkage to the generic (risk) management system
(general overview)
- 6) Integration of due diligence in existing generic (risk) management systems
(guidance and examples)
 - Annex A – explanation of existing ISO standards
 - Annex B – explanation of the concept of risk
 - Annex C – The generic risk management system

Core of NPR 9036

Table providing linkages and differences and 'what to do'

UNGP	Generic (risk) management framework	What a company should do when integrating due diligence	How to integrate (click & see)
Committing to meet the corporate responsibility to respect human rights through a policy statement.	The policy addresses specific commitments related to the management system.	<ul style="list-style-type: none"> — Include in the policy a reference to respect internationally agreed human rights (as a minimum the Bill of Human Rights and ILO core conventions). — Describe the most relevant and significant issues. 	6.2.1

(almost) No adaptation required
Minor adaptation required
Substantial adaptation required

Core of NPR 9036

Chapter providing guidance on 'how to do'

Guidance based on:

- UNGP, OECD
- ISO 31000, ISO 26000 and ISO management system standards
- Many international publications

Examples taken from:

- Publically available sources
- Members of the working group



Core of NPR 9036

Extensive guidance on integrating due diligence in existing risk management systems

Due Diligence	ISO MSS	31000	26000
Policy commitment	Dark Blue	Light Blue	Dark Blue
Impact assessment	Light Blue	Dark Blue	Light Blue
Integrating and acting	Dark Blue	Dark Blue	Dark Blue
Tracking performance	Dark Blue	Light Blue	Dark Blue
Remediation	Dark Blue	Light Blue	Light Blue
Communicating performance	Dark Blue	Dark Blue	Dark Blue



Meer informatie

Downloaden NRP 9036 en (gratis) samenvatting:

www.nen.nl/Nieuws/Nieuws/Due-diligence.htm

www.nen.nl/iso26000

www.nen.nl/denieuweiso

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