OECD GUIDELINES FOR MULTINATIONAL ENTERPRISES NETHERLANDS NCP REPORT TO THE OECD 2013

National Contact Points must report annually to the OECD Investment Committee on the nature and results of their activities to further the effectiveness of the Guidelines for Multinational Enterprises, including implementation activities in specific instances.

A Common Reporting Framework, based on the Implementation Procedures of the Guidelines, assists NCPs prepare these reports. This information is the basis for the Chair's Annual Report to the OECD Council.

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Common framework for annual reporting by National Contact Points

The role of National Contact Points is to further the effectiveness of the Guidelines by undertaking promotional activities, handling enquiries and contributing to the resolution of issues that arise relating to the implementation of the Guidelines in specific instances. NCPs will operate in accordance with core criteria of visibility, accessibility, transparency and accountability.

<i>P</i> .	A. Institutional arrangements			
1.	Governmental location of the NCP			
	The Hague, Netherlands: The secretariat of the Dutch NCP is located within Affairs.	n the Minis	try of Foreigr	1
2.	Structure of the NCP			
	☐ Monopartite ☐ Interagency ☐ Bipartite ☐ Tripartite ☐ Quadripartite [✓ Independe	ent Expert Bod	ły
	The Dutch NCP consists of four independent members, four advisory mem relevant government ministries (Economic Affairs, Foreign Affairs, Infrast Social Affairs & Employment), and a secretariat located within the Ministr	ructure & E	invironment,	
3.	Does the NCP have an advisory body?	Yes	○ No	
	The NCP has advisary members, representatives at management level from Affairs, Foreign Affairs, Social Affairs & Employment, Infrastructure & Ena separate body. Four times a year the NCP has an advisory meeting with reNCW, FNV and OECD watch	nvironment	. They are not	t
4.	Does the NCP have an oversight body?		No	
5. '	Was the NCP structure modified in the reporting period?	Yes	○ No	
	As part of a government change in October 2012, the political responsibilit transferred at that time from the minister of Economic Affairs, Agriculture newly established minister for Foreign Trade and Development Cooperatio kept intact.	and Innova	tion to the	เร

6. How does this structure enable the NCP to operate effectively?

The following reasons enable the NCP to operate effectively:

- the NCP works independently, under the political responsibility of the minister for Foreign Trade and Development Cooperation. Working with independent members also means that the NCP work can be executed without being influenced by current government policy.
- the members of the NCP are appointed by the Minister after consultation of the key stakeholders and come from diverse segments of the Dutch society (academics, financial sector, labour, NGO's. The chairman was appointed on the basis of his experience as a policy mediator). This also means that each member brings their own expertise and network to the NCP, and can also use this to carry out the tasks of the NCP, including of course the promotional task to increase awareness and

implementation of the OECD Guidelines.

- the advisory members of the NCP serve an important role as well to ensure the commitment of the Dutch government in the work of the NCP and to contribute expertise when needed.

7.	Does	the	NCP	have	an	allocated	budget?
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Yes No

The budget of the Netherlands NCP has been structurally incorporated in the Ministry of Foreign Affairs budget

8. Does the NCP have dedicated staff?

The Dutch NCP includes a secretariat of four staff members, which is an increase of capacity and expertise since the last report. A well-equipped secretariat has proven to be essential to the effective functioning of the NCP as a whole. Ensuring adequate capacity and expertise as part of the secretariat has been important to enable a committed effort to the professional handling of specific instances as well as the promotional task of the NCP.

9. Are changes in the structure or resources available to the NCP contemplated in the near future?

Yes ○ No

There are two developments related to the structure of the NCP that merit some attention. The first of these is an in-depth study into the effective functioning of the NCP during the summer of 2012 which has led to further improvement of the NCP procedures and follow-up discussions concerning the possibility of a steering board and the use of external mediators.

The second of this is a changing political environment in the Netherlands, where discussion is taking place at the moment on the desirability of a so-called 'CSR supervisor'. The role of the NCP in relation to this possible 'CSR supervisor' is part of this discussion. It is not yet clear whether or not this will have any consequences for the structure of the NCP - and if so, which consequences these might be.

As far as resources are concerned there is no change foreseen, but the importance of a well-equipped secretariat - including sufficient people & means - remains a continuous point of interest.

10. Does the NCP report within the Government on its activities?

The Dutch NCP reports the findings of its final statements to the Minister for Foreign Trade and Development Cooperation, as well as its annual reports.

Every four years, the Minister sends a report on the functioning of the NCP to Parliament.

B. Information and promotion

11. Does the NCP have a dedicated website or dedicated webpages?

Yes ○ No

www.oesorichtlijnen.nl & www.oecdguidelines.nl

The website is available in Dutch and English, and provides information on the OECD GL, the work and structure of the NCP, news on events, an overview of recent and pending specific instances, and provides some tools for companies to understand how to implement CSR and the OECD GL in their

	company. At the moment we are working on a re-design of the current website.		
12.	Are the 2011 Guidelines available online?	Yes	O No
	In English and Dutch		
13.	Are the 2011 Guidelines available in print?	Yes	○ No
	Yes, in English and a Dutch print-version is made available through the Social Ecot (SER)	nomic Co	uncil.
14.	Did you develop other products to raise awareness of the Guidelines?	Yes	○ No
	This year has seen the development of several new products as part of the promotion Guidelines. These are: - a mediation manual (jointly with the UK and Norwegian NCPs) published in Octo explaining how mediation in the NCP-context works - a new design for all promotional products from the NCP, to be used from now one a new brochure explaining briefly the main points of the Guidelines and the work - a short informative film on the Guidelines/NCP - the new design will also be used for a re-design of the current website which will focused information	ober 2012 wards of the NC	
15.	Is your Annual Report available online?	Yes	○ No
	http://www.oesorichtlijnen.nl/ncp/jaarverslagen-en-peer-review/ & http://www.oeconcp/annual-reports-and-peer-review/	dguideline	es.nl/
16.	Is your Annual Report available in print?	Yes	O No
	In 2013 we will publish a public version of the annual report		
17.	Does the NCP coordinate with other government activities on responsible busin	iess cond	uct?
	The Dutch NCP coordinates closely with the international CSR section of the minist Affairs; the coordination group on international CSR at the Ministry also includes refrom the Ministry of Economic Affairs. The advisory members of the NCP also provide a useful way of coordinating activities relevant ministries on CSR.	representat	tives

	owned ente	CP, together with appropriate state entities (export credits agency, investment state erprises, overseas investment guarantee and inward investment promotion), inform prospective investors about the Guidelines and their implementation?							
	Yes	○ No							
The NCP cooperates with several state entities to inform companies and investors about the Guidelines. The OECD Guidelines have also become a requirement for companies when applyi financial government support (such as subsidies and investment support). Some of the organisat the NCP cooperates with include Agentschap NL, which is a.o. responsible for promoting international trade and acquiring investment to the Netherlands; FMO (Entrepreneurial Develop Bank); and CBI (Center for the Promotion of Imports from Developing Countries)									
		conducted surveys or collected data documenting enterprises' awareness and usines, such as references in corporate codes of conduct, provide details.	e of						
	The NCP chairman is a member of the SER. Discussions and surveys are taking place in that context.								
20.	Does the N	CP have a promotional plan on the Guidelines?) No						
21	The promotional plan for 2013 sets out the focus of the NCP promotional activities for 2013. The plan consists of two main parts focusing on activities conducted by the NCP itself (such as developing up to date material on the Guidelines and the NCP: brochure, film, etc) and the second part focusing on possible collaborations to be set up with relevant organizations with the aim to meffectively reach the defined target groups.								
41.	procedures	P organise any event to promote the Guidelines and their implementation s?							
	• Yes	○ No							
Titl	le	Stakeholder meeting: "The OECD Guidelines & Freedom of Association"							
Dat	e	29 Nov 2012							
Pla	ce	The Hague, Netherlands							
Further details		An event aimed to bring together a diverse group of stakeholders (business, ngo's, etc) to discuss and share experiences on a specific topic related to the Guidelines. This afternoon consisted of several presentations on the topic of how to ensure freedom of association, as one of the main principles in the Guidelines. Around 50 people attended the meeting.							
Titl	le	"Transparancy and the OECD Guidelines: instruments for reporting"							
Dat	e	18 Jun 2013							
Place		The Hague, Netherlands							

Further details	An event aimed to bring together a diverse group of stakeholders (business, ngo's, etc) to discuss and share experiences on a specific topic related to the Guidelines. The topic of this afternoon is how to be transparant about implementing the Guidelines in your company.
Title	Responsible Business Conduct in the Financial Sector
Date	27 Jun 2013
Place	Paris, France
Further details	Co-organized with the OECD, this event will be the second day of the Global Forum on Responsible Business Conduct, specifically focused on the financial sector.
promote the	P participate in any event organised by stakeholders or other entities to e Guidelines and their implementation procedures?
Title	ISO26000 annual conference "Sustainable stakeholder engagement"
Date	16 Apr 2013
Place	Maarssen, the Netherlands
Further details	As part of this conference, the NCP organized a workshop in the afternoon (held twice) on successful stakeholder dialogue between business and ngo's, showcasing the role the NCP can take. The advisory member from the Ministry of Foreign Affairs was one of the opening speakers at this conference.
TP: 41	Duck : W. Bill. I :
Title	NJCM seminar: Human Rights as a business risk
Date	14 Feb 2013
Place	Amsterdam, Netherlands
Further details	A seminar discussing the impact of human rights violations for business. The chairman of the Dutch NCP was one of the speakers.
Title	"Groen is de rode draad"
Date	20 Jun 2013
Place	Den Haag, Netherlands
Further details	This event focuses on CSR in the textile & fashion industry, with the theme of transparancy. The chairman of the NCP is opening speaker at this event.

Title

Date				
Place				
Further details				
rurtner detans				
		ssies, notably in emerging markets a vareness and promoting the Guidelin		
and working The Dutch	g of the NCP, which wa NCP has also requested awareness of the Guide	a workshop in Brazil on the implements supported by the Dutch embassy in Eather support of the Dutch embassy in Selines during a meeting with South Kor	Brazil. outh Korea in order	
	CP have a direct relati business conduct inst	onship with OECD partner organisa ruments:	tions and/or other	leading
ILO?			☐ Yes	✓ No
UN Global	Compact and its local	networks?	☐ Yes	✓ No
UN Office of	of the High Commissio	ner on Human Rights?	☐ Yes	✓ No
National In	stitution for the Prote	ction and Promotion of Human Righ	ts?	✓ No
Global Rep	orting Initiative?		✓ Yes	☐ No
ISO26000?			✓ Yes	☐ No
Other			☐ Yes	✓ No
relationship	with the key stakehold	SO26000, the Dutch NCP has establishers (OECD Watch, Dutch BIAC-represe) through bi-monthly meetings (so-call	entative VNO-NC	W and
for Multina		ment agency promote the OECD Ris Weak Governance Zones?	k Awareness Tool	
Guidance for Areas?	or Responsible Supply	ment agency promote the OECD Due Chains of Minerals from Conflict-A		Risk
• Yes) No			
into practice		ntive for the Conflict Free Tin Initiative conflict-free tin to the market. Also, on the market is a second conflict free tin to the market.		

27.	Were enquiries received on the Guidelines and their implementation procedur	·es	
	From other NCPs?	✓ Yes	☐ No
	From the business community?	✓ Yes	☐ No
	From labour organisations?	✓ Yes	☐ No
	From non-governmental organisations?	✓ Yes	☐ No
	From governments of non-adhering countries?	Yes	✓ No
	Other	Yes	✓ No
	The Dutch NCP has received requests for information from several other NCP's (so Germany, Switzerland, Israel and Brazil). We receive regular requiries from individualisesses, mainly relating to the implementation of the Guidelines. A question who regularly is what the changes are in the 2011 revision of the Guidelines and how co with these changes.	duals and nich comes	s up
$\left(\mathbf{C}\right)$. Proactive agenda		
mer production ide.	etings, with social partners and other stakeholders in order to: a) consider new developmentices concerning responsible business conduct; b) support the positive contributions enternity and respond to risks of adverse impacts associated with particular products, regions, so Did the NCP identify new emerging challenges for enterprises, or engage in an activities? • Yes O No The Dutch NCP is active on the theme of the OECD Guidelines in the financial section this effort is organizing a focused program on this topic on the second day of the OECD Guidelines in the financial sector December of the OECD Guidelines in the financial sector Dece	ments and exprises can ectors or incompleted at the control of the	merging make to dustries s part of am on tent the
\mathbf{D}	. Co-operation and peer learning		
eng rev	addition to contributing to the Committee's work to enhance the effectiveness of the Guid gage in joint peer learning activities. In particular, they are encouraged to engage in horizon iews and voluntary NCP peer evaluations. Such peer learning can be carried out through mee through direct co-operation between NCPs.	ntal, themai	tic peer
2 9.	Did the NCP engage in direct co-operation with other NCPs?	Yes	○ No
	a.o. with the NCP's from Germany, Luxembourg, Norway, UK, Brazil, US and act during the Salzburg mediation training in September 2012.	ive partici	pation

30. Is the NCP interested in volunteering for a peer evaluation?	Yes	O No
The Dutch NCP was the first to host a peer review. It will volunteer again when seve reviews have been conducted.	ral other	peer
31. Is the NCP interested in being part of the team conducting a voluntary peer evaluation?	Yes	○ No
Yes		
E. Specific instances		
32. Did the NCP develop procedures for handling specific instances?	Yes	○ No
- are they available online?	Yes	O No
http://www.oesorichtlijnen.nl/ncp/meldingen-doen/ & http://www.oecdguidelines.nl/specific-instance/	ncp/filing	g -
- in which language/s? English & Dutch		
- do procedures take into account the 2011 Procedural Guidance?	Yes	○ No
33 How many new specific instances did the NCP receive in the reporting period?	1	

Title	POSCO, ABP, APG, NBIM – Lok Shakti Abhiyan, KTNC Watch, Fair Green and Global Alliance, ForUM			
Leading NCP	Netherlands			
Supporting NCP	This specific instance was filed simultaneously at the Norwegian and South Korean NCPs regarding the allegations against NBIM and POSCO			
Description	The notification entails an alleged breach of the Guidelines by South Korean Pohang Iron and Steel Company (Posco) and three of its investors: the Dutch pension fund ABP, its Pension Administrator APG and the Norwegian Bank Investment Management (NBIM). In accordance with the Guidelines Procedural Guidance the NCPs have agreed that each NCP should handle the notification against its registered enterprise. The notifying parties allege that the Financial Institutions have not taken the appropriate steps to prevent or mitigate Posco's negative impacts on human rights and environmental rights which are directly linked to them through their financial relationship with Posco. More specific the notifiers claim that the Financial Institutions violated Chapter II, commentary 19 and 22, Chapter II, section A, paragraph 12 and paragraph 14, 20, 22 in the Commentary of General Policies.			

	I						
Theme/s		II. General Policies					
Date specific instanc	ce received 9	Oct 2012					
Host country/ies	In	dia					
Source		Trade Union 🕡 1	NGO Individuals	Business Oth	ner interested parties		
Industry sector Fig		inancial and insurar	nce activities				
Status	It	n progress					
Summary	nc be re or th co	otification against A e taken by APG in o lated to their minoring agoing efforts in ord e Terms of Reference ontentious issues in the first taken and the in 13 March 2013 the	BP and APG. Particular to prevent or matry shareholding in Flore to influence POS ce for an independent Odisha, India. e Netherlands NCP	agreement on the issues agreed upon the applicate any potential applicate any potential applicate and to further and Review and Assess published a Prelimination of NCP's Final Standards NCP's Final Standards	oppropriate steps to negative impacts r effectuate APGs rties agreed upon sment of		
Initial assessment	•	Assistance to par	ties	Conclusion of the p	rocedures		
From date:	9 Oct 2012	From date:	18 Jan 2013	From date:			
To date:	18 Jan 2013	To date:	ongoing	To date:	ongoing		
http://www.oesoricht content/uploads/ ncp_specific_instanc itial_assessment.pdf							

Title	Nuon Energy NV – FNV Eemshaven			
Leading NCP	Netherlands			
Supporting NCP	none			
The notification entails an alleged breach of the OECD Guidelines Chapter par.11,12,13 and Chapter V, par. 4a. Notifying parties submit that NUON h violated the Guidelines by dealing with subcontractors who would not obse standards of employment not less favorable than those observed by compar employers in The Netherlands.				
Theme/s	V. Employment and Industrial Relations			
Date specific instance received	27 Jul 2012			
Host country/ies	Netherlands			
Source	✓ Trade Union NGO Individuals Business Other interested parties			
Industry sector	Construction			
Status In progress				
Summary	The NCP facilitates a dialogue between parties which is still ongoing.			

Initial assessment		Assistance to parties		Conclusion of the procedures	
From date:	27 Jul 2012	From date:	17 Dec 2012	From date:	
To date:	17 Dec 2012	To date:	ongoing	To date:	ongoing
http://www.oesorichtlijnen.nl/wp-content/uploads/nl_ncp_initial_assessment_nuon_fnvpdf					

Title	Sh	ell – Stroitel/Sakhalin Environmental V	Vatch	
Leading NCP	Ne	etherlands NCP		
Supporting NCP	Th No	is specific instance was filed simultane	ously at the Netherlands and the UK	
Description	ba inv No In the iss	the notification contained an alleged bread sed Royal Dutch Shell and UK-based for a convenient in the development and oper CPs decided to handle the notification succordance with the OECD Guidelines are notification against Shell does not measure raised where not material and substitification and requests was not likely to fectiveness of the 2011 Guidelines	inancial institutions concerning their ations of the Sakhalin II Project. The eparately per enterprise involved. the Netherlands NCP concluded that rit further examination because 1) the cantiated and 2) the dealing with this	
Theme/s		II. General Policies		
		IV. Human Rights		
		VI. Environment		
Date specific instance received 31		31 Jul 2012		
Host country/ies Ru		Russia		
Source		☐ Trade Union ☑ NGO ☐ Individuals ☐ Business ☐ Other interested parties		
Industry sector		Mining and quarrying		
Status		Concluded		
Summary n/a		l		
Initial assessment		Assistance to parties	Conclusion of the procedures	
From date: 31 Jul 20	12	From date:	From date:	
To date: 20 Mar 2013		To date:	To date: 20 Mar 2013	
http://www.oesorichtlijnen.nl/wp-content/uploads/ nl_ncp_initial_assessment_stroitel_s akhalinenvironmentwatch_shell_200 313.pdf				

Title	Shell - Dobos	
Leading NCP	US NCP	
Supporting NCP	UK NCP, Netherlands NCP	
Description	The notification contains an alleged bread Notifying parties submit that Shell publis to the notifiers without a permit, resulting between notifiers and a third party. The Netherlands NCP has not taken the nalleged issues relate to the activities of SI US NCP is the right entity. The U.S. NCI assessment and any further possible procedeCD Guidelines.	hed personal and business data related g in the termination of a contract otification into consideration since the nell Plc. in the United States and the P is responsible for the initial
Theme/s	IV. Human Rights	
Date specific instance received	27 Mar 2013	
Host country/ies	United States of America	
Source	☐ Trade Union ☐ NGO ☑ Individuals	Business Other interested parties
Industry sector		
Status	Concluded Concluded	
Summary	This specific was declared as inadmissable, as the Netherlands NCP is not the right entity for this specific instance. The responsible NCP for this case is the US NCP who already responded to the notifier.	
Initial assessment	Assistance to parties	Conclusion of the procedures
From date: 27 Mar 2013	From date:	From date:
To date: 4 Apr 2013	To date:	To date:
http://www.oesorichtlijnen.nl/ncp meldingen/		

34. Do you have any updates on specific instances that were reported and not concluded in the previous reporting period?

Title	Shell - Friends of the Earth/Amnesty International I (extractive industries, Nigeria, received 25/01/2011)
Status	Concluded
	Notification concerning communications by Royal Dutch Shell about the cause of oil spills in the Niger Delta.

Summary	Notifiers submitted that Shell has breached the OECD Guidelines in its communication on oil spills in the Niger Delta. In the view of notifiers, the company provided misleading information and omits mention of relevant facts about causes of oil spills. They claim that Shell based its communications on biased and unverified information, thus failing to provide reliable and relevant information to external stakeholders. Incorrect and conflicting messages about causes of oil spills further contributed to low quality non-financial information. The process was conducted as a dialogue between parties involved, and although helpful discussions took place during the meetings, parties have not reached an agreement. The emphasis in the final statement is on the NCP's views on the course of the procedure, the main issues at stake, including recommendations. The statement is based on the information presented by the parties and the outcome of discussions.
Link	http://www.oesorichtlijnen.nl/ncp/meldingen/

Title	Shell - Friends of the Earth/Amnesty International II (extractive industries, Nigeria, 13/01/2012)
Status	In progress
Summary	Notification concerning oil spills in the Niger Delta; in agreement with all parties further action in this specific instance is pending until the specific instance Shell 1 (see above) is finalized.
Link	

Title	Arcellor Mittal
Status	In progress
Summary	The Netherlands NCP provides support to the Luxembourg NCP, which is the lead NCP, in handling this specific instance.
Link	

F. Useful experiences and future work

35. Provide any other information on the nature and results of NCP activities during this implementation cycle of the updated Guidelines, including on any useful experiences and/or difficulties encountered in carrying out the duties of the NCP.

Several developments at the Dutch NCP deserve mention in this report as these have proven very useful in further developing the way the NCP operates.

Pre-mediation requests

This year, the NCP has offered its assistance to stakeholders in advance of receiving a formal notification, if all parties agree to the involvement of the NCP. The NCP received several of such request, one of which was a request for assistance from a group of stakeholders on the matter of labelling of place of origin on products originating from occupied territories in the summer of 2012.

The first dialogue between parties and chaired by the NCP was organized in September 2012. This process has continued since that time to the satisfaction of all parties involved.

This is an example of how the NCP can successfully offer its services prior to a situation escalating further and resulting in a specific instance. In handling these requests, the NCP also follows the principles set out in the Procedural Guidance of the Guidelines. Most importantly, the NCP will always - when receiving such a request for 'pre-mediation' - use the criteria as set out in the Procedural Guidance (when making an initial assessment) to assess if the issue at hand is relevant and if it will enhance the effective implementation of the Guidelines. This also ensures a certain level of predictability for parties involved in the dialogue.

Stakeholder engagement

Starting after the summer of 2012, the NCP has begun convening regular (every 2/3 months) meetings of the NCP and its key stakeholders, represented in the Netherlands by FNV, OECD Watch and VNO-NCW. These meetings have become an effective way of engaging the NCP's stakeholders on various matters related to the functioning of the NCP and the promotion of the Guidelines. Simultaneously, NCP stakeholder events are organized more regularly (planned twice a year) with the objective of bringing together a wide range of stakeholders (such as individual businesses & entrepreneurs, ngo's, academics, government, etc) to discuss new developments relating to the Guidelines and the NCP and identify challenges existing amongst the stakeholders (as also explained in C). Both these initiatives have become a valued way of engaging with the NCPs stakeholders. One result that has come out of this engagement is the attached NCP response to the OECD stakeholder consultation which has been discussed regularly with the Dutch stakeholder representatives at these occasions.

Other developments

During the summer of 2012, the Ministry of Economic Affairs (at that time the Ministry responsible for the NCP) commissioned a study into the current functioning of the NCP and its effectiveness. The results of this study have led to a further improvement to the working procedures of the NCP, such as a revised document detailing the NCP procedure on receiving a specific instance (which is referred to in question 32). Some other conclusions of this study are still discussed as possible ways of strengthening the NCP (as mentioned in question 9).

36. Based on your recent activities, what issues might deserve particular attention during the 2013-2014 implementation cycle of the OECD Guidelines?

Several issues merit further attention in the next implementation cycle, in particular:

- functional equivalence between NCPs
- implementation of the OECD Guidelines in the financial sector
- peer learning: enhancing mediation skills of the NCP's and holding regular (twice a year) dedicated NCP-meetings
- promotional activities & how to reach businesses most effectively

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